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FAX TRANSMISSION COVER SHEET

Date: November 22, 2005
To: Request for Refund
United States Patent & Trademark Office
Fax: (571) 273-8300
Phone:
From: R. Burns Israelsen
Re: Serial No. 10/607,758
Filing Date: June 27, 2003
Docket No: 15436.440.13

YOU SHOULD RECEIVE 5 PAGE(S), INCLUDING THIS COVER SHEET.
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Comments:

Please see attached.

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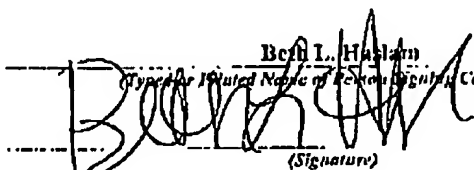
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|--|---------------------------|----------------------------|----------------------------|--|
| CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8) Applicant(s): Jao-Hyun Ryou, et al. | | | Docket No. 15436.440.13 | |
| Application No. 10/607,758 | Filing Date 06/27/2003 | Examiner Nguyen, Tuan N | Group Art Unit 2828 | |
| Invention: VCSEL HAVING THERMAL MANAGEMENT | | | | |
| <p>I hereby certify that this _____ <u>Request for Refund</u> _____ (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. (571) 273-8300) on _____ November 22, 2005 _____ (Date)</p> <div style="text-align: center;"> <p>Beih L. Haslam (Typed or Printed Name of Person Signing Certificate)</p>  (Signature) </div> <p style="text-align: center;">Note: Each paper must have its own certificate of mailing.</p> | | | | |

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1000 FAIRFAX GATE TOWER
 60 EAST SOUTH TOWER
 SALT LAKE CITY, UTAH 84111
 TELEPHONE: (801) 533-9800
 FAX: (801) 328-1707
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VIA FACSIMILE

Practitioner's Docket No.15436.440.13

NOV 22 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of:

Application No.: 10/607,758

Group No.: 2828

Filed: 06/27/2003

Examiner: Nguyen, Tuan N

For: VCSEL HAVING THERMAL MANAGEMENT

☐ Patent No.:

Issued:

NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment, also insert application number and filing date, and add Box M. Fee to address.

Mail Stop 16
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

REQUEST FOR REFUND (37 C.F.R. 1.28(a))

I. SUBMISSION OF SMALL ENTITY STATEMENT

- (a) ☐ Attached is a statement claiming small entity status in this application.
 (b) ☐ A statement claiming small entity status was filed in this application on _____

II. REFUND REQUEST

This request for refund is made within two months of the date a fee was paid in this application on October 17, 2005, in the amount of \$200.00.

NOTE: The two-month period (§ 1.28(a)) is not include in the provisions for extension under 37 C.F.R. 1.136 because it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984. 37 C.F.R. 1.28(a).

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III: FEES PAID FOR WHICH REFUND REQUESTED

Amount of
Refund
Requested

- ☐ Filing Fee \$ _____
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the application (37 C.F.R. 1.16(e) or 37 C.F.R. 1.16(l)). \$ _____
- or
- ☐ Surcharge for filing the oath or declaration on a date later than the filing date of the application (37 C.F.R. 1.16(e)). \$ _____
- ☐ Surcharge for filing the basic filing fee on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(e)(1) and 37 C.F.R. § 1.16(l)). \$ _____
- or
- ☐ Surcharge for filing the cover sheet on a date later than the filing date of the provisional application (37 C.F.R. § 1.51(e)(1) and 37 C.F.R. § 1.16(l)). \$ _____
- ☐ Extension of term \$ _____
- ☐ Issue Fee \$ _____
- ☐ Patent maintenance fee \$ _____
- ☐ first maintenance fee \$ _____
- ☐ second maintenance fee \$ _____
- ☐ third maintenance fee \$ _____
- ☐ Patent maintenance fee surcharge. \$ _____

NOTE: The refund provisions of § 1.28(a), for later submitted small entity statements, apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37.

- ☒ Other \$200.00
Duplicate payment of an uncounted independent claim first paid for by deposit account No. 23-3178 on March 31, 2005, then again on October 17, 2005, via a PTO-2038 Credit Card form.

TOTAL REFUND REQUESTED \$200.00

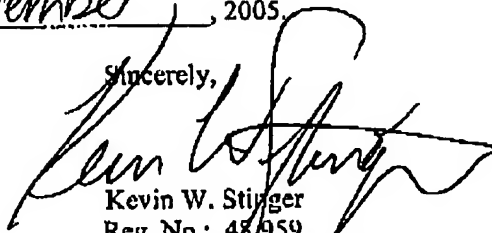
IV. MANNER OF REFUND

Please make refund by:

- ☒ crediting American Express Credit Card No. 3725-296871-41000, Exp. 08/08
☐ refunding overpayment.

Dated this 22 day of November, 2005.

Sincerely,



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VIA FACSIMILE (571) 273-8300

PATENT APPLICATION
Docket No. 15436.440.13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Jae-Hyun Ryou, et al.

Serial No.:

10/607,758

) Art Unit
) 2828

Filed:

June 27, 2003

For:

VCSRL HAVING THERMAL
MANAGEMENT

Confirmation No.:

7402

Customer No.:

022913

Examiner:

Nguyen, Tuan N

AMENDMENT UNDER 37 C.F.R. § 1.111Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office action mailed May 17, 2005 (the "Office Action"), please
amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3

of this paper.

10/18/2005 TL0111 00000074 10607758

Remarks/Arguments begin on page 12 of this paper.

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PAGE 6/21 * RCVD AT 10/17/2005 10:05:13 PM (Eastern Daylight Time) * SVR:USPTO-EFAXF-626 * DNS:2738300 * CSID:8013281707 * DURATION (mm-ss):06-46

Adjustment date: 12/19/2005 SFELEKE1
10/18/2005 TL0111 00000074 10607758
02 FC:1201 -200.00 DP

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Am Exp.: XXXXXXXXXX1000